

Prevalence of self-reported chronic diseases in individuals over the age of 40 in São Paulo, Brazil: the PLATINO Study

Prevalência de doenças crônicas autorrelatadas em indivíduos acima de 40 anos em São Paulo, Brasil: Estudo PLATINO

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Abstract

Few studies have been conducted to determine the prevalence of chronic diseases and its impact in individuals aged 40 years or over in Brazil. The objective of this study is to evaluate the prevalence of some common chronic diseases in the Brazilian subgroup assessed by the PLATINO study using a self-reported survey. A total of 918 individuals (55% women) with a mean age of 54.6 ± 10.9 years were evaluated. The most prevalent diseases were obesity (62.5%), hypertension (39.2%) and gastritis (30.9%). We conclude from this study that there is a high prevalence of chronic diseases in the population over 40 years of age: 88% of the population suffers from a minimum of one disease and 26% of the sample suffers from at least three diseases. We also observed that the number of comorbidities increases with age.

Chronic Disease; Comorbidity; Health Promotion

Introduction

Public health programs are generally designed according to the prevalence of the diseases they are targeted at. In Brazil, a national survey (PNAD – Brazilian National Household Sampling Survey) carried out over the last 42 years by the central government has been used as an important source of information for developing public health service guidelines ¹. Other surveys that focus on specific groups have also been carried out and provide interesting information that has been used by the public health authorities. One such study is the EPIDOSO Survey that has followed up an ageing population ² in São Paulo over the last two decades evaluating the most prevalent diseases in the individuals studied.

However, this study presents a selection bias since the sample, taken from only two areas nearby the University, is not representative of the population of São Paulo ². As this population was not randomized it is possible that the samples are strongly subject to chance: the population of the study comprised only groups of individuals who were already sick or healthy individuals, both of which were seeking quality health care. With respect to the 2003 PNAD Survey ¹, 70% of the sample population was under 40 years of age, not reflecting the real demographic situation in Brazil [Brazilian Institute of Geography and Statistics (Instituto Brasileiro de Geografia e Estatística – IBGE)]. In addition, the survey did

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not evaluate two very common clinical situations in Brazil: chronic obstructive pulmonary disease and obesity.

Population based sampling is the ideal method to evaluate the actual prevalence of a disease and assess the level of access a given population has to public health care ^{1,2,3}. With respect to disease prevalence, a strong correlation has already been shown between self-diagnosis and diagnosis based on clinical evaluation ⁴.

The Latin American Project for the Investigation of Obstructive Lung Disease (PLATINO) aimed to determine the prevalence of chronic obstructive pulmonary disease and associated risk factors in five major metropolitan areas in Latin America: São Paulo (Brazil), Santiago (Chile), Mexico City (Mexico), Montevideo (Uruguay) and Caracas (Venezuela). The study consisted of a randomized household survey that used a questionnaire to collect data on the prevalence of chronic obstructive pulmonary disease, as well as the demographic, spirometry and general characteristics of the population. In the case of Brazil, the questionnaire included questions about prevalent diseases among the sample population ³. In São Paulo, the study found a high prevalence of chronic obstructive pulmonary disease (15.8%) ³ and over 60% of the sample population was shown to be overweight or obese ⁵. Obesity is strongly associated with cardiovascular diseases, diabetes and metabolic syndrome, diseases that usually impose a high burden on the health care system.

Despite the methodological quality of the EPIDOSO and PNAD studies, neither evaluated lung function or individuals' body mass index by measuring the height and weight of individuals at their homes. A definitive diagnosis of chronic obstructive pulmonary disease can only be made using spirometry.

Other diseases with high morbidity and mortality that impose a high burden on the health care system were also evaluated by the PLATINO study. Information regarding prevalence of asthma, tuberculosis, heart disease, systemic arterial hypertension, diabetes, lung cancer, stroke, gastritis and ulcers were collected using a self-reported method.

This study consists of a subanalysis of the PLATINO study and aimed to evaluate the self-reported prevalence of certain highly prevalent chronic diseases in Brazil combining the objective measurement of lung function, weight and height in a cluster analysis of a survey sample in the city of São Paulo.

Material and methods

Study design

The study was approved by the Ethics and Research Committee of the São Paulo Federal University (Universidade Federal de São Paulo – UNIFESP), Brazil, and was based on data collected by the PLATINO study. The methods and results regarding chronic obstructive pulmonary disease prevalence in Latin America have been published in previous studies ^{3,5}. Briefly, PLATINO was a transversal study designed to determine chronic obstructive pulmonary disease prevalence and associated risk factors in five large metropolitan areas of Latin America, including São Paulo in Brazil. The study sample was selected using clusters in several stages. Firstly, the metropolitan area was stratified into main city and surrounding municipalities. These two subsets were then further stratified by socioeconomic status. Based on these stratifications we selected 68 census tracts using a probability of selection proportionate to the number of households in each tract. Within each tract, we counted the number of individuals in each household and every count was updated based on the most recent census. We chose an average of 15 households using systematic sampling within each tract. All adults aged 40 years or over living in selected households were invited to participate and those who accepted signed a consent form and were submitted to the evaluations in their home.

Questionnaire and evaluations

All interviews and examinations took place in the subject's home, and proxy information was not accepted. We obtained data regarding respiratory health using a standardized questionnaire which included sections from the American Thoracic Society Division of Lung Diseases (ATS/DLD) ⁶, European Community Respiratory Health Survey II ⁷ and Lung Health Study instruments ⁸. This questionnaire has been used to investigate respiratory diseases and symptoms ^{3,9} in a number of countries, including Brazil ⁵. Questions related to the presence of respiratory symptoms, self-diagnosis of other chronic diseases, such as asthma, heart disease, systemic arterial hypertension, diabetes, lung cancer, stroke and gastritis or ulcers. The following question was asked: "Has a doctor ever told you that you have heart disease?". The same question was asked regarding "high blood pressure" ("hipertensão"), "blood sugar" or "diabetes", "lung cancer", "stroke" or "cerebral ischemia" ("derrame"), "lung tuberculosis", "asthma", "gastritis or ulcer".

The questionnaire also assessed the number of completed school years of each subject and smoking history. Height was measured with a portable stadiometer (Seca, Curitiba, Brazil; precision 0.1cm) and subjects were weighed with an electronic scale (Tanita; Curitiba, Brazil; precision 200g) to calculate their body mass index (BMI). Individuals with a BMI of over 25kg/m² were defined as overweight and those with a BMI of over 30kg/m² were considered obese¹⁰. In addition, pre and post-bronchodilator spirometry was performed (Easy-One; NDD Medical Technologies, Chelmsford, USA, and Zurich, Switzerland) and chronic obstructive pulmonary disease was diagnosed where the post-bronchodilator FEV1/FVC was less than 0.7^{11,12,13}. If subjects answered "yes" to any of the questions related to history of previous disease and/or presented a BMI of over 25kg/m² and/or a FEV1/FVC ratio lower than 0.70 (chronic obstructive pulmonary disease), the data was evaluated to determine the prevalence of each disease. In addition, the number of diseases presented by each subject was verified and stratified/classified as 1-2, 3-4 or over 5 diseases.

The sample size of this study was determined based on the prevalence of chronic obstructive pulmonary disease. Sample size calculations suggested that 800 individuals would be needed to estimate a prevalence of chronic obstructive pulmonary disease of up to 30% with a margin of error of less than 4 percent. We aimed to locate about 1,020 eligible participants per site, with a predicted 20% refusal rate. The initial sample looked at chronic obstructive pulmonary disease prevalence. Only 12% of this sample was defined as "not having a self-reported disease". Based on these results and assuming a total amplitude confidence interval of 0.10 and a confidence interval of 99%, it was calculated that it would be necessary to evaluate a total of 339 subjects¹⁴.

Statistical analysis

Continuous variables are presented as mean \pm standard deviation. The categorical variables are expressed as number of subjects and percentage. The chi-square test was used to test the association between qualitative variables. The Student's t-test was used to compare the mean of two groups. Statistical analysis was performed utilizing Stata 8.0 (Stata Corp., College Station, USA) and SPSS 10.0 (SPSS Inc., Chicago, USA).

The prevalence of each disease or combination of diseases was calculated for the whole sample. In addition, to evaluate and compare the prevalence and number of concomitant diseases, age was stratified into various groups: 40 to 49

years, 50 to 59 years, 60 to 69 years and over 70 years.

The type I error rate was maintained at 5%.

Results

A total of 1,000 subjects completed the questionnaire and 918 performed pre and post-bronchodilator spirometry test. The level of non-response was 15.3%, including failure to locate the residence or individual and refusal to take the spirometric test. The age and smoking history characteristics of non-responders and responders were similar.

The demographic data is shown in Table 1. The age of the sample population ranged predominantly between 40 and 60 years (72.7%) with a mean of 54.6 \pm 10.9 years. The sample was predominantly female and white. The subjects had completed an average of six years of schooling. The sample comprised predominantly non-smokers (42.2%), 32.9% ex-smokers and 24.9% current smokers. The mean smoking load was 18 packs per year.

The prevalence of the diseases studied is shown in Figure 1. The number of diseases tend-

Table 1

Demographic and clinical characteristics of the study population (n = 918).

Variable	Value
Age (years \pm)	54.6 (10.9)
Age stratified (years) [n (%)]	
40-49	372 (40.5)
50-59	296 (32.2)
60-69	151 (16.9)
70 and older	99 (10.8)
Gender [n (%)]	
Male	413 (45)
Female	505 (55)
Race [n (%)]	
White	528 (57.5)
Non white	390 (42.5)
Education (years \pm)	6.0 (4.8)
BMI [kg/m ² \pm SD]	27.3 (5.5)
Smoking History [pack-years \pm]	18.0 (22.8)
Smoking status [n (%)]	
Non smoker	387 (42.2)
Ex-smoker	302 (32.9)
Smoker	229 (24.9)

BMI: body mass index; SD: standard deviation.

ed to increase with age. The most common comorbidity was obesity, followed by systemic arterial hypertension. The prevalence of obesity was high in all age groups. We also found a low incidence of lung cancer and stroke for all age groups (Figure 1).

Comorbidity was associated with age (see Table 2). The number of diseases in the population increased with age, from 1.6 diseases per person in the 40 to 49 years age group to 2.3 diseases per person in the 70 years and over age group (see Table 2; $p < 0.001$).

Discussion

This study is a subanalysis of the PLATINO study, which was designed to evaluate the prevalence of chronic obstructive pulmonary disease in Latin America. In our study, the data analysis is restricted to the adult population of São Paulo aged 40 years and over, a commonly used sample type in epidemiological studies regarding chronic obstructive pulmonary disease.

Our subanalysis concerned the prevalence of ten diseases in the metropolitan area of São Paulo using a self-reported questionnaire and objective measurements of lung function and BMI³. The

questionnaire was adapted from standardized and validated questionnaires^{6,7} which are widely used in other respiratory epidemiological studies in Europe and Asia⁹.

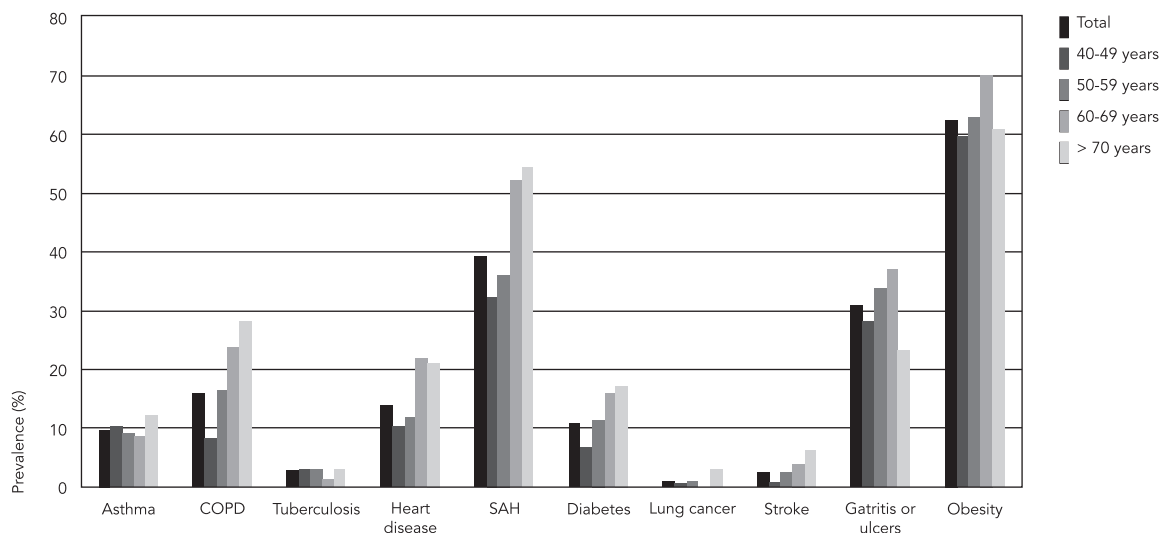
Other surveys undertaken in Brazil and other countries have evaluated large samples focusing on specific diseases^{15,16,17}. However, few studies have analyzed the characteristics of the surveyed individuals associated with these diseases. Knowledge of factors associated with these diseases would help to develop a multidimensional approach which could be more cost effective for the health care system.

Ramos et al.² conducted a prevalence study of an ageing population, including individuals over 65 years of age, in a district of the city of São Paulo and found that only 5.6% of the sample did not present any chronic disease and reported a strong association between diseases. However their study was not randomized, was restricted to one district of São Paulo and only comprised older individuals. Despite these flaws, the very low percentage of individuals without any chronic disease is very similar to the findings in our subset group aged 60 years and over (6.8%).

An important finding was made regarding the number of diseases per individual and age: at least 88% of the present study population had

Figure 1

Prevalence of diseases by age group.



COPD: chronic obstructive pulmonary disease; SAH: systemic arterial hypertension.

* $p < 0.05$. Chi-square test.

Table 2

Number of diseases by age group.

Number of chronic diseases *	40-49 years		50-59 years		60-69 years		Over 70 years	
	n (%)	95%CI	n (%)	95%CI	n (%)	95%CI	n (%)	95%CI
None	63 (16.9)	13.1-20.7	30 (10.2)	6.8-13.6	11 (7.3)	3.2-11.4	6 (6.1)	1.4-10.8
1-2	237 (63.7)	58.8-68.6	184 (62.4)	56.9-67.9	70 (46.4)	38.4-54.4	58 (58.6)	48.9-68.3
3-4	68 (18.3)	14.4-22.2	72 (24.4)	19.5-29.3	62 (41.1)	33.3-48.9	28 (28.3)	19.4-37.2
> 5	4 (1.1)	0.0-2.2	9 (3.1)	1.1-5.1	8 (5.3)	1.7-8.9	7 (7.1)	2.0-12.2

95%CI: 95% confidence interval.

* $p < 0.001$. Chi-square test.

one or two diseases, 60% of the individuals, irrespective of the age group, had one or two diseases and the percentage of individuals with three or more diseases tended to increase with age (Table 2). Concomitant diseases require comprehensive treatment with a multidisciplinary approach and can result in potential medication interactions.

We found a high prevalence of individuals who were overweight and obese ($BMI \geq 25\text{kg}/\text{m}^2$). Monteiro et al.¹⁸ found a 39% prevalence of overweight and obese individuals among the Brazilian population, which is a significant contrast to the findings of this study (62%). This difference may be due to the age group of the PLATINO study which included only individuals aged 40 years or over, known to have lower metabolic activity and a greater tendency to gain weight. Recent surveys in Brazil have shown that the population tends to be overweight¹⁹. Based on data from the NHANES III study in 1999, the prevalence of excess weight and obesity in individuals in the United States aged 25 years or over was 63% for men and 55% for women ($BMI \geq 25\text{kg}/\text{m}^2$)³, which is similar to the findings of this study. Barros et al.²⁰ studied the social disparities related to the prevalence of chronic diseases in Brazil and found lower prevalence for individuals of the same age group covered by our study. Obesity is an important contributor to the development of other comorbidities such as diabetes, systemic arterial hypertension and cardiovascular diseases. Combating this problem in health programs requires a multifaceted approach including knowledge regarding nutrition, exercise and metabolism²⁰.

Population surveys carried out in Brazilian cities over the past 20 years have shown a prevalence of over 30% of systemic arterial hypertension in the Brazilian population^{21,22}, which is similar to the findings of this study: 32.3% for the 40 to 49 years age group and 36.1% for the 50 to

59 years age group. Findings regarding disease prevalence in individuals in the city of São Paulo relating to the period 2001 to 2002 published by Coutinho et al.²³ were comparable to our study for the 40 to 49 years age group (36%) but higher in the case of the 50 to 59 years age group (53%). The other age groups evaluated by the present study were not examined by Coutinho et al.²³. These figures are particularly important considering that this population is more prone to cerebrovascular accidents and developing ischemic cardiovascular diseases and peripheral arterial insufficiency²⁴.

Gastritis and ulcers were ranked as the third most common disorder in our sample. However it should be noted that self-diagnosis of these diseases is difficult because their symptoms may be confused with other dyspeptic symptoms^{25,26}. We are not aware of any Brazilian data related to the prevalence of gastritis or ulcers. In 2002, based on a study of gastric biopsies, Coelho et al.²⁷ showed that the estimated prevalence of infection by *H. pylori* in Latin America was 60%, with a wide range of variation from 30% to 90%. The prevalence rates found by the present study for gastritis (28%) and ulcers (36%) were below the mean value reported by Coelho et al.²⁷ but within the range cited by these authors. In Brazil, a population-based study²⁸ indicated a prevalence rate of 44% for dyspeptic syndrome. Although this syndrome was highly prevalent in the general population, only 25% of individuals seek medical treatment for this illness. It is therefore possible that the number of individuals who reported gastritis or ulcers in our study could be an underestimate.

Self-reported heart disease in our study ranks fourth in the list of disease prevalence and shows an increasing trend with age, reaching 21.2% in the 70 years and over age group. The term heart disease can encompass various illnesses such as

arrhythmia, myocardiopathy, aortic valve disease and coronary disease (angina). However, the questionnaire used in this study was not designed to carry out an individual evaluation of such diseases. As heart disease is the leading cause of mortality in Brazil, this was already an expected finding in our study.

The incidence of chronic obstructive pulmonary disease increased with age. The prevalence of this disease in São Paulo, which may affect as many as seven million people in Brazil, was 15.8% in individuals aged 40 years and over^{3,5}. It is well known that chronic obstructive pulmonary disease imposes a substantial burden on the Brazilian Unified National Health System (SUS): approximately 180,000 patients per year are admitted to hospital due to this disease and it was the sixth greatest cause of death in Brazil in 2004, with 33,000 deaths (Health Information Department. <http://www.datasus.gov.br>, accessed on 12/Oct/2011). Despite the high burden on public health, chronic obstructive pulmonary disease is under diagnosed and undertreated²⁹.

According to the Brazilian Survey on Diabetes (1992) carried out by Franco et al.³⁰, this disease was shown to have an age-adjusted prevalence (30 to 69 years) of 7.6%, with a variation of 5% to 10%, in the different state capitals evaluated (6.7%). However, rates in the present study were as high as 17.2% in the 70 years or over age group. The increase in prevalence of diabetes in the two age groups – 60 to 69 years and 70 years or over –

may be associated with several factors, including the fact that as a person ages the number of insulin receptors decreases and the blood glucose levels rise³¹.

An important observation of our study is that the mean number of diseases per person increased with age. This increasing trend in the association between chronic comorbidities may be due to the chronic character of these diseases, associated with the fact that, despite being treatable, they are not curable.

One possible limitation of this study is that the prevalence of diseases was based on the PLATINO questionnaire that concerns only certain specific diseases. However, it is important to highlight that, although the primary objective of the PLATINO study was not the same as that of the present analysis, the rigorous study design and very good quality control acts as an assurance of reliable data. Several other studies have also used surveys or questionnaires to identify the prevalence of diseases and show health care utilization^{32,33}. It is also important to note that the information obtained from the PLATINO study has not been previously revealed.

We can make the following conclusions from this study: there is a high prevalence of chronic diseases in the Brazilian population aged 40 years or over; 88% of the population suffers from at least one disease and 26% of the individuals of our sample had at least three diseases. We also observed that the number of comorbidities increases with age.

Resumo

Poucos estudos foram desenvolvidos para determinar a prevalência de doenças crônicas e suas associações em indivíduos com mais de 40 anos de idade no Brasil. O objetivo deste trabalho foi avaliar a prevalência de algumas doenças crônicas altamente prevalentes no país, de modo autorreferido, avaliadas no Estudo PLATINO em São Paulo, em uma amostra de base populacional. Novecentos e dezoito indivíduos (55% mulheres) com média de idade de $54,6 \pm 10,9$ anos foram avaliados. As três doenças mais prevalentes foram obesidade (62,5%), hipertensão (39,2%) e gastrite (30,9%). Há uma alta prevalência de doenças crônicas na população acima de 40 anos: 88% da amostra apresentaram pelo menos uma doença e 26%, pelo menos, três doenças; e o número de doenças apresentava tendência a aumentar com a idade.

Doença Crônica; Comorbidade; Promoção da Saúde

Contributors

A. K. Carvalho, O. A. Nascimento and J. R. Jardim made a substantial contribution to study conception and design, data collection, analysis and interpretation and in drafting this article, critical content revision and approval of the final version. A. M. B. Menezes, A. Camelier, F. W. Rosa and R. Perez-Padilla made a substantial contribution to study conception and design, data collection, analysis and interpretation.

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